**Capstone Project**

**Printer & Document Solutions**

**Cybersecurity Guidelines**



Prepared for: Bazlur Rashid & Brett Turner

Prepared by: Daniel Gardiner ID 10537941

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# Additional Accessibility Instructions

## Enable Immersive reader Tool

In order to enable the text to speech function for this document/guide and other accessibility options such as increased spacing between words and lines, please refer to the following instructions (Microsoft, n.d.):

1. Select view in the options above
2. Select the *Immersive Reader* icon
3. With the *Immersive Reader* options now available, select which accessibility options you wish to adopt whilst reading this document/guide.

# Additional Notes on Accessibility

In addition to the above accessibility options within his document, the following accessibility guidelines have been adopted from the World Wide Web Consortium (W3C, 2018) in order to assist those requiring additional accessibility options, being:

***THE FOLLOWING ARE DRAFT ACCESSIBILITY IMPLEMENTATIONS.***

***FEEDBACK WILL BE REQUIRED BEFORE FINAL APPROVAL IS GIVEN.***

1. *Success Criterion 1.1.1: Non-text Content: Decoration, Formatting, Invisible*
2. *Success Criterion 1.4.1: Use of Colour*
3. *Success Criterion 1.4.3: Contrast Minimum*
4. *Success Criterion 1.4.4: Resize Text*

Whilst not all of the recommendations have or could be utilised within this document initially, any suggestions can be passed on to the document/guide’s authors for consideration.

# Document Control

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Please Note:

This document has been derived and modified from the publicly available instructions and template made available by the Government of Canada’s Public Services and Procurement Ministry (Public Services and Procurement Canada, 2021).

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# Who is this guide for?

***Small to Medium Enterprises and Organisations***

Running a business or any small to medium organisation is difficult enough without having to worry about potential malicious actors attacking the livelihoods of you, your staff and even your customers via cyberattacks against your network.

Buying a printer, multi-function device or even a 3D printer sadly carries its own set of sometimes overlooked cybersecurity risks, to which this guide is designed to help mitigate against and assist your organisation in balancing both the convenience and risk associated with cybersecurity mitigation.

***Vendors***

Protecting your brand, suppliers, and your customers, is not only critical when it comes to minimising the financial risk that a successful cybersecurity attack could have on your organisation, but also reduces the chances that reputational damage can result from a successful and disclosed cyberattack against your customers that in some cases can be worse than the initial financial damage caused (Durbin, 2014).

Being open to the cybersecurity needs and wants of your customers will not only develop stronger customer relationships, but also solidify your organisation as being serious when combatting cybersecurity outside your own organisation.

***Individuals***

Regardless of one’s individual position or role within any business or organisation, protecting ourselves and our communities from the perils that malicious actors utilising cybersecurity threats present to us, is everyone’s responsibility and takes both enterprise wide and community wide diligence (NIST, 2018).

# What this guide does NOT cover.

1. Which brand or model you should purchase or use
2. Costs associated with purchasing, ongoing use or maintenance of the devices mentioned in this guide
3. Specific and individual security risks outside generic vendor software provided.
4. Specific cybersecurity response plans should you fall victim to cybercrime

# Pre-Installation

## Vendor/Manufacturer Requests

Prior to installation, vendors may make requests of you and potentially other key members of your organisation, which may or may not entail the following examples:

* Access to view the physical location to where the device/s are to be placed, to assess whether stairs need to be traversed and to confirm if there is adequate room for the devices to be installed
* Any particular configuration requests in both the driver/software included or hardware provided such as folding/stapling and binding attachments
* An understanding if you, the customer, have any particular requests of the vendor (See Below)

## Requests to Vendors

The key to creating a balance between an organisation’s cybersecurity policies and convenience for yourself and any other members of your organisation, is to make sure that your organisation places priority in terms of its policies over those that visitors, customers and guests would have when entering and potentially acting against such policies.

In the same way that vendors may have pre-installation requests as listed above, you and members of your organisation should very well look to make requests of vendors as long as they can be explained to avoid conflict and are fair and reasonable.

## Limiting Vendor Access

Limiting the access that vendors have to certain areas of your organisation are critical to avoiding physical security breaches and potentially even theft of property.

## Physical Security

As cybersecurity often refers to digital threats online, physical security is sadly overlooked and the growing number of vulnerabilities that printers and multifunction devices present are no exception to potential physical threats.

Wherever you decide to have your devices located is of course completely up to you, but when balancing security and convenience, remember that physical security can assist in protecting your data, network systems and even people (Hutter, 2016).

# Ongoing Use

## Device Location Security

In relation to the ongoing use of any printing, multifunction or 3D printer devices in any location, there are two main areas that can be looked at specifically to add extra security and reduce the risk that those outside your organisation may use to cause harm to you and your organisation (ACSC, 2022), being:

1. Preventing physical access to devices
2. Preventing observation of devices

Preventing *physical access* to devices from those that are not members of your organisation is critical to reducing access to exposed USB and network ports that could potentially be used to compromise the network from which said device is connected to.

Preventing and or reducing *observation* of devices prevents malicious actors from knowing what devices could potentially be targets they could target in a cyberattack.

## Drivers & Associated Software Patches

It is critical that all updates associated with your printing device are completed once they are made available. Although some vendors will provide the latest software updates that will allow for your printing device to work immediately, updates will occur over time as the manufacturer is made aware of issues with their original software and can also provide other new benefits to older, even outdated printing devices

(Symanovich, 2021).

The recommended timeframe for applying patches can vary, however devices such as printers, the *Essential Eight Maturity Model* (2021) recommends a timeframe

for updates on applications like those associated with printers, at within ***one month*** of the patch or software updates release.

## Faults/Maintenance/Repairs

Depending on if your printing device is subject to a warranty claim or is eligible for a service call out as part of the original sale or lease agreement, a fault or maintenance call also

## Device Configuration

***THIS HEADING REQUIRES FEEDBACK BEFORE IMPLEMENTATION***

# Vendor Maintenance and Repairs

## Contacting the Vendor/Technical/Manufacturer

It is important that contact details are correct and up to date should a warranty or service call be required to both troubleshoot issues either by offsite or onsite technical support. Having these details on hand and as accurate as possible will potentially reduce the devices downtime.

For warranty claims and technical support made over the phone, always contact the service provider on the details they have provided wherever possible and centralise these calls to be handled by those with access to such warranty, lease, and maintenance contracts available on hand.

## Organising a Service Call

Service calls should only be placed by both secure and pre-determined methods. For example, staff owned mobile phones or other IoT devices should be strictly avoided when making service calls or communicating with vendors and manufacturers at any time.

## Confirming vendor/repair agent identity

Should an apparent call be made from someone claiming to be a vendor, staff who would answer or respond to these calls should immediately filter them through to designated contacts (this may just be yourself if you are a small business owner and the business’s only employee) as this will assist with verification etc and avoid imitation or *spoofing* attacks by malicious actors.

## Restricting Access

There is a need to balance the needs of vendors to access your premises and your network whilst also protecting your printer and other critical network devices, but ultimately it is recommended to work with vendors whilst also helping them understand your needs and wants to protect your infrastructure from potential cybercriminals (Smith, n.d.).

Securing printing and associated network devices is covered in greater detail under the ***Access Controls*** section (See below).

## Confirming Work Completed

Once a vendor claims that the work required has been completed, depending if the work was successful or unsuccessful will decide the next steps that should be taken:

**If work is completed successfully:**

The authorised representative should be able to demonstrate that the work required has been completed and provide an overview of the maintenance/repair, installation, or removal of equipment to the business representative monitoring and waiting for the vendor or representative to complete their work.

There should be detailed documentation provided by the vendor for accurate record keeping should there be any associated issues with the work completed later on.

**If work is completed unsuccessfully:**

Should the authorised representative advise that work required has not or cannot be completed at this time, the authorised representative should communicate to the business owner or authorised person to discuss the next steps and supply detailed documentation advising of such steps.

# Destruction/Removal/Returning of Devices

## Responsible Handling of Data

One of the most overlooked areas of data security within an organisation is the hard drives and associated data storage devices that come with printers, especially those multi-function devices that have scanners and address books.

Any and all printer devices that are to be replaced or disposed of can produce risk and vulnerabilities if not handled properly. Like many other devices on the network in which data and information flows can be found, printers can have an internal hard drive that can potentially contain sensitive information, such as customer data and even intellectual property such as those found with 3D printers.

***Insert information surrounding unencrypted information stored of printer hard drives. Look into potential IP (instructions) stored in 3D printer hard drives.***

## Prior to Device Removal/Destruction

Any printing device that should fall under the category of being unable to be repaired, salvaged, or is not required to be retuned as part of any lease agreement, should be properly destroyed upon removing any identifying markers such as stickers, as well as any internal components that could be beneficial to malicious actors should they acquire them from e-waste depositories or by ‘dumpster diving’.

## Device Destruction

If a device is to be disposed of and has had all identifying markings and potential data storage hardware removed, the device/s can be flagged for destruction and can be transferred to the nearest available e-waste station in your local area.

## Returning the Device

Should a printing device be under lease or loan and must be returned when the agreement for such device/s is concluded, either by reaching the term date or from having to return/swap a device under warranty, a discussion with the vendor/manufacturer regarding the removal (or at the very least, erasure) of the internal hard drive should be conducted.

# Access Controls

At the very basic level, access control simply involves restricting access to the printer devices across your network where individual users, including employees, vendors and even guests would potentially have access to (Tunggal, 2021).

The National Institute of Standards and Technology (NIST) insists than an organisation develop policies, models as well as mechanisms when planning an access control system (Hu et al., 2006). However, the work involved in creating this for your individual business or organisation may not potentially be viable should you only have 1-2 staff and a smaller printer network than much larger organisations.

The following insights surrounding the key components that make up access control policy, provide further understanding of both how to identify and address potential security issues with your printers and associated network.

## Authentication

It is important to not only confirm the identity of somebody who may be using your printing devices and the networks they are connected to, but it is just as critical to establish a consistent and secure manner in which to do so.

Comparing any credentials, such as passwords and pin numbers, provided by any individual seeking to access a printing device (either physically or via the network), against the credentials stored authentication options are readily available, cost effective and sometimes included with your printing device/s (Identity Management Institute, n.d.).

Furthermore, 2FA & MFA (Two-Factor/Multi-Factor Authentication) protocols such as a text message confirmation or fingerprint scan are now almost a minimum standard for an organisation’s expected authentication requirements (Cloudflare, n.d.).

## Authorisation

Minimising the risk of unauthorised access to important information can be achieved with sound authorisation policies as part of an organisation’s access control policies (Australian Cyber Security Centre, 2020). The level of access that one has once authenticated can vary within an organisation, however as a benchmark to work from, no organisation’s printer network should be completely accessible by each and every individual within the organisation, as is highlighted by the policies of Zero Trust Security and Principle of Least Privilege(See *Access* Below).

## Access

## Manage

***Pending further research and insights***

## Audit

***Pending further research and insights***

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# Glossary

**Cyberattack.** An attack, typically against a device on a network (including the internet), that can be for the purposes of theft or spying.

**Cybersecurity.** A measure or set of measures designed to prevent cyberattacks.

**Printer Driver.** Software that allows for the communication between an operating system (Windows/Mac/Linux etc.)and a printer, multifunction, or 3D printing device.

**Essential Eight.** A set of guidelines that mitigate the risks of a cyber criminals successfully completing a cyberattack.

**Firmware.** A hybrid of both software and hardware that is usually permanently embedded in computer hardware, including printing devices.

**Printer.** A device that can transplant text and images onto various paper stocks using either inkjet or laser-based technologies.

**Multifunction Printer (MFP).** In addition to being a printer, a multifunction device or MFP, also provides features such as a digital fax machine, scanner, or stapling device.

**National Institute of Standards and technology (NIST).** A non-regulatory organisation based in the United States that, amongst other endeavours, seeks to promote and enhance better standards of cybersecurity and publishes documents and guides in order to do so.

**Software.** Pre-programmed procedures in the form of computer code that control the functions of both hardware and other software applications.